

FINAL ORDER – THIS PRELIMINARY DETERMINATION BECAME THE FINAL ORDER  
OF THE COMMISSION ON JANUARY 29, 2018 PURSUANT TO RULE 21F-10(f) OF THE  
SECURITIES EXCHANGE ACT OF 1934

Notice of Covered Action: <sup>Redacted</sup>  
<sup>Redacted</sup>

**PRELIMINARY DETERMINATION OF THE CLAIMS REVIEW STAFF**

In response to the above-referenced Notice of Covered Action, the Securities and Exchange Commission received one timely whistleblower award claim from <sup>Redacted</sup> (“Claimant”). Pursuant to Section 21F of the Securities Exchange Act of 1934 (the “Exchange Act”) and Rule 21F-10 promulgated thereunder, the Claims Review Staff has evaluated the claim in accordance with the criteria set forth in Rules 21F-1 through 21F-17. The Claims Review Staff sets forth its Preliminary Determination as follows.

The Claims Review Staff has preliminarily determined to recommend that the Commission deny an award to Claimant. The basis for this determination is that Claimant is not a whistleblower within the meaning of Section 21F(a)(6) of the Exchange Act and Rule 21F-2(a) because Claimant failed to submit information about a possible securities law violation in the form and manner required by Rules 21F-9(a) and (b). Specifically, Claimant failed to submit information on-line through the Commission’s website or on a Form TCR, and failed to make the requisite whistleblower declaration. Consequently, Claimant does not qualify as a whistleblower within the meaning of Exchange Act Section 21F(a)(6) and Rule 21F-2(a), and is therefore ineligible for an award pursuant to Rule 21F-8(a). <sup>Redacted</sup>

By: Claims Review Staff  
Dated: November 29, 2017